Atty. Docket No. CLI02 P-301 Express Mail No. EL 961488578 US

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : John William Clifton and Paul Frank Guagliardo

For : INDIVIDUAL IDENTITY AUTHENTICATION SYSTEM

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

# INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.97(b)

Pursuant to 37 CFR §§1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents listed on the attached Form PTO-1449. This Information Disclosure Statement is being filed within three months of the filing date of the above-referenced application.

Copies of the listed documents were previously submitted in a prior application, Serial No. 10/437,652, upon which Applicants rely for the benefits provided in 35 U.S.C. §120. Applicants respectfully request that the Examiner consider the listed documents and evidence that consideration by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If it should be determined that any of the listed documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

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Page: 2

6.2

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 16-2463.

Respectfully submitted,

JOHN WILLIAM CLIFTON ET AL.

By: PRICE, HENEVELD, COOPER,

**DEWITT & LITTON** 

<u>04-01-04</u> Date

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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (Rev. 2-32) PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. CLI02 P-301	SERIAL NO.			
INFORMATION DISCLOSURE STATEMENT BY APPLICANT					
(Use several sheets if necessary)		) <u>h</u>			
	APPLICANT(S) John William Clifton et al.				
	FILING DATE	GROUP			

#### U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER				MBE	R	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPRO- PRIATE	
	5	8	9	2	9	0	0	04/06/1999	Ginter et al.			
								** **				

#### FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER						DATE	CC	COUNTRY	CLASS	SUB- CLASS	TRANSLA- TION		
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## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	
	U.S. Patent Application Publication No. 2003/0009435 A1, published 01/09/2003, to Gopalan
	U.S. Patent Application Publication No. 2002/0174067 A1, published 11/21/2002, to Hoffman et al.
	U.S. Patent Application Publication No. 2002/0143708 A1, published 10/03/2002, to Hollander et al.

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if citation considered, whether or no through citation if not in conformance and not considere applicant.	t citation is in conformance with MPEP 609; Draw line d. Include copy of this form with next communication to